



3761
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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

TRANSMITTAL FORM <i>(to be used for all correspondence after initial filing)</i>	Application Number	09/836,850	
	Filing Date	April 15, 2001	
	First Named Inventor	Scot D. Miles, et al.	
	Group Art Unit	3761	
	Examiner Name		
Total Number of Pages in This Submission		Attorney Docket No.	0905.ZEVX.CI

ENCLOSURES (check all that apply)		
<input type="checkbox"/> Amendment / Response <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Appeal Communication: <input type="checkbox"/> Appeal Notice <input type="checkbox"/> Appeal Brief <input type="checkbox"/> Reply Brief <input type="checkbox"/> Assignment with Cover Sheet <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Check in the amount of \$____ <input type="checkbox"/> Declaration Claiming Small Entity Status for: <input type="checkbox"/> Independent Inventor <input type="checkbox"/> Small Business Concern <input type="checkbox"/> Non-Profit Organization <input type="checkbox"/> Declaration & Power of Attorney <input type="checkbox"/> Drawings ____ sheets <input type="checkbox"/> Formal <input type="checkbox"/> Informal	<input type="checkbox"/> Extension of Time Request ____ month <input type="checkbox"/> Fee Calculation Table <input checked="" type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> § 1.97 (1) <input type="checkbox"/> § 1.97 (2) <input type="checkbox"/> § 1.97 (3) <input checked="" type="checkbox"/> Form 1449 <input checked="" type="checkbox"/> Copies of IDS References <input type="checkbox"/> Issue Fee Transmittal & Advance Order	<input type="checkbox"/> Maintenance Fee Transmittal ____ year <input type="checkbox"/> Missing Parts Response <input type="checkbox"/> Notification of Change of Attorney Address & Docket Number <input checked="" type="checkbox"/> Return Postcard <input type="checkbox"/> Revocation & Power of Attorney <input type="checkbox"/> Status Inquiry <input type="checkbox"/> Other:
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SIGNATURE OF APPLICANT, ATTORNEY OR AGENT			
Attorney for Applicant	Randall B. Bateman, Registration No. 37,774 Morriss, Bateman, O'Bryant & Compagni, P.C. 5882 South 900 East, Suite 300 Salt Lake City, Utah 84121 (801) 685-2302 telephone; (801) 685-2303 facsimile		
Signature		Date	7-19-01
CERTIFICATE OF MAILING UNDER 37 CFR § 1.8			
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Typed or Printed Name	Randall B. Bateman		
Signature		Date	7-19-01



PATENT APPLICATION
DOCKET NO. 0905.ZEVX.CI

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANT: Scot D. Miles, et al.
SERIAL NO.: 09/836,850
FILED: April 15, 2001
FOR: APPARATUS AND METHOD
FOR PREVENTING FREE
FLOW IN AN INFUSION LINE
ART UNIT: 3761
EXAMINER:
DOCKET NO.: 0905.ZEVX.CI

CERTIFICATE OF DEPOSIT
UNDER 37 C.F.R. § 1.8

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Randall B. Bateman

7-19-01
Date of Deposit

INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. § 1.97

Assistant Commissioner of Patents
Washington, D.C. 20231

Sir:

Please find, pursuant to 37 C.F.R. § 1.98(a)(1), the enclosed Form PTO-1449 which contains a list of all patents, publications, or other items that have come to the attention of one or more of the individuals designated in 37 C.F.R. § 1.56(c). Applicant respectfully submits that this Information Disclosure Statement is filed pursuant to 37 C.F.R. § 1.97 (b), within three months of the filing date of the application, within three months of the date of entry of the national stage set forth in § 1.491 in an international application or before the mailing date of a first Office action on the merits and requests that the references cited in the enclosed form PTO-1449 be made of record in the above-captioned application. While no representation is made that any of these references may be "prior

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art" within the meaning of that term under 35 U.S.C. §§ 102 or 103, the enclosed list of references is disclosed so as to fully comply with the duty of disclosure set forth in 37 C.F.R. § 1.56.

Moreover, while no representation is made that a specific search of office files or patent office records has been conducted or that no better art exists, the undersigned attorney of record believes that the references listed, together with any other references which may have been previously submitted or listed, are the closest to the claimed invention (taken in its entirety) of which the undersigned is presently aware, and no art which is closer to the claimed invention (taken in its entirety) has been knowingly withheld.

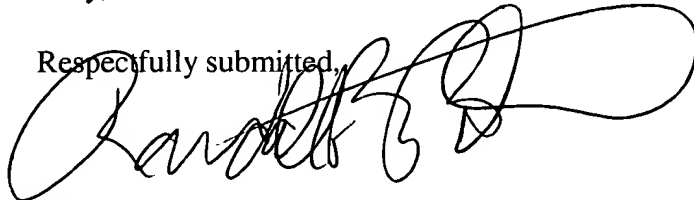
In accordance with 37 C.F.R. §§ 1.97 and 1.98, a copy of each listed reference (or relevant portion thereof) which was not previously submitted to, or cited by, the Patent Office is also enclosed.

Since all listed references are either in the English language or are accompanied by a translation into English, no concise explanation of relevance is required under 37 C.F.R. § 1.98(a)(3).

Please charge any additional fees or credit any overpayment to Deposit Account No. 50-0881.

DATED this 19 day of July, 2001.

Respectfully submitted,

A handwritten signature in black ink, appearing to read "Randall B. Bateman", written over a horizontal line.

Randall B. Bateman
Attorney for Applicant
Registration No. 37,774

Assistant Commissioner for Patents

July 19, 2001

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PTO-1449

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Sheet 1 of 2

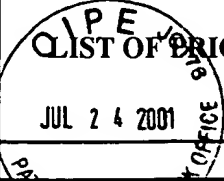
Application Number	09/836,850
Filing Date	April 15, 2001
First Named Inventor	Scott D. Miles et al.
Group Art Unit	3761
Examiner Name	
Attorney Docket	0905.ZEVX.CI

U.S. PATENT DOCUMENTS

EXAMINER INITIALS		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	AA	5,810,323	Sept. 22, 1998	Winterer et al.			
	AB	5,704,584	Jan. 6, 1998	Winterer et al.			
	AC	5,257,978	Nov. 2, 1993	Haber et al.			
	AD	5,238,218	August 24, 1993	Mackal			
	AE	5,219,327	June 15, 1993	Okada			
	AF	5,083,561	Jan. 28, 1992	Russo			
	AG	4,960,259	Oct. 2, 1990	Sunnanvader et al.			
	AH	4,728,324	March 1, 1988	Steigerwald et al.			
	AI	4,689,043	Aug. 25, 1987	Bisha			
	AJ	4,645,489	Feb. 24, 1987	Krumme et al.			
	AJ	4,634,092	Jan. 6, 1987	Daniell et al.			
	AK	4,624,663	Nov. 25, 1986	Danby et al.			
	AL	4,559,045	Dec. 17, 1985	Danby et al.			
	AM	4,524,802	June 25, 1985	Lawrence et al.			
	AN	4,453,295	June 12, 1984	Laszczower			
	AO	4,425,116	Jan. 10, 1984	Bilstad et al.			
	AP	4,382,453	May 10, 1983	Bujan et al.			
	AQ	4,236,880	Dec. 2, 1980	Archibald			
	AQ	4,230,151	Oct. 28, 1980	Jonsson			
	AR	3,998,364	Dec. 21, 1976	Hollander			
	AS	3,329,391	July 4, 1967	Deane			
	AT	3,213,882	Oct. 26, 1965	Beatty			
	AU	2,858,095	Oct. 28, 1958	Harris et al.			
	AV	2,471,623	May 31, 1949	Hubbell			

FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION YES NO
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PTO-1449		U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE		Application Number	09/836,850
 <p>LIST OF PRIOR ART CITED BY APPLICANT</p>				Filing Date	April 15, 2001
				First Named Inventor	Scott D. Miles et al.
				Group Art Unit	3761
				Examiner Name	
Sheet 2 of 2				Attorney Docket	0905.ZEVX.CI

	AW	0483794A1	6 May 1992	EPO			
	AX	0423978A2	24 April 1991	EPO			
	AX	0150666A1	14 Sept 1984	European			

OTHER PRIOR ART (Including Author, Title, Pertinent Pages, Etc.)

	AY		
EXAMINER		DATE CONSIDERED	

***EXAMINER:** Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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